

# Analysis of horizontal genetic transfer in red algae in the post-genomics age

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The recently published genome of the unicellular red alga *Porphyridium purpureum* revealed a gene-rich, intron-poor species, which is surprising for a free-living mesophile. Of the 8,355 predicted protein-coding regions, up to 773 (9.3%) were implicated in horizontal genetic transfer (HGT) events involving other prokaryote and eukaryote lineages. A much smaller number, up to 174 (2.1%) showed unambiguous evidence of vertical inheritance. Together with other red algal genomes, nearly all published in 2013, these data provide an excellent platform for studying diverse aspects of algal biology and evolution. This novel information will help investigators test existing hypotheses about the impact of endosymbiosis and HGT on algal evolution and enable comparative analysis within a more-refined, hypothesis-driven framework that extends beyond HGT. Here we explore the impacts of this infusion of red algal genome data on addressing questions regarding the complex nature of algal evolution and highlight the need for scalable phylogenomic approaches to handle the forthcoming deluge of sequence information.

The recent report of the *Porphyridium purpureum* genome<sup>1</sup> is the first from a unicellular mesophilic red alga, i.e., a cell that lives in what we consider a “normal” environment in terms of temperature, toxic metal concentrations, humidity, and acidity. The previously determined genomes of unicellular red algae were all from extremophiles that exist in acidic and high temperature habitats around hot springs

(i.e., *Cyanidioschyzon merolae*,<sup>2</sup> *Galdieria sulphuraria*,<sup>3</sup> and *Galdieria phlegrea*<sup>4</sup>), and thus are highly reduced and specialized to their hostile environment. In addition, genomes from two multicellular red algal species, *Chondrus crispus*<sup>5</sup> and *Pyropia yezoensis*,<sup>6</sup> have recently become available. These genome data, together with other transcriptome data,<sup>7,8</sup> provide a significant boost to the currently available genetic repertoire from red algae. The rapid growth in these data therefore inspires a fresh look at the evolutionary history of genes in the red algal lineage.

Red algae (Rhodophyta), together with glaucophyte and green algae (the latter gave rise to plants), form the foundational lineage of all photosynthetic eukaryotes, the Archaeplastida or Plantae<sup>9–11</sup> (Fig. 1). Some red algal lineages are economically important due to their yield of hydrocolloid compounds, e.g., agar, agarose, and carrageenan as thickening and emulsifying agents in a wide range of industries.<sup>12</sup> As an anciently diverged group of photosynthetic eukaryotes, red algae are also key mediators of horizontal genetic transfer (HGT), directly or indirectly via endosymbiosis.<sup>13</sup> This is because an ancestral red alga-like cell is believed to have been implicated in the landmark secondary endosymbiosis event that gave rise to the plastids in a diverse collection of photosynthetic eukaryotes<sup>14–16</sup> (Fig. 1). Following endosymbiosis hundreds of red algal genes migrated to the nucleus of the host through a specific form of HGT referred to as endosymbiotic gene transfer (EGT).<sup>17</sup> This process spread red algal genes throughout many photosynthetic

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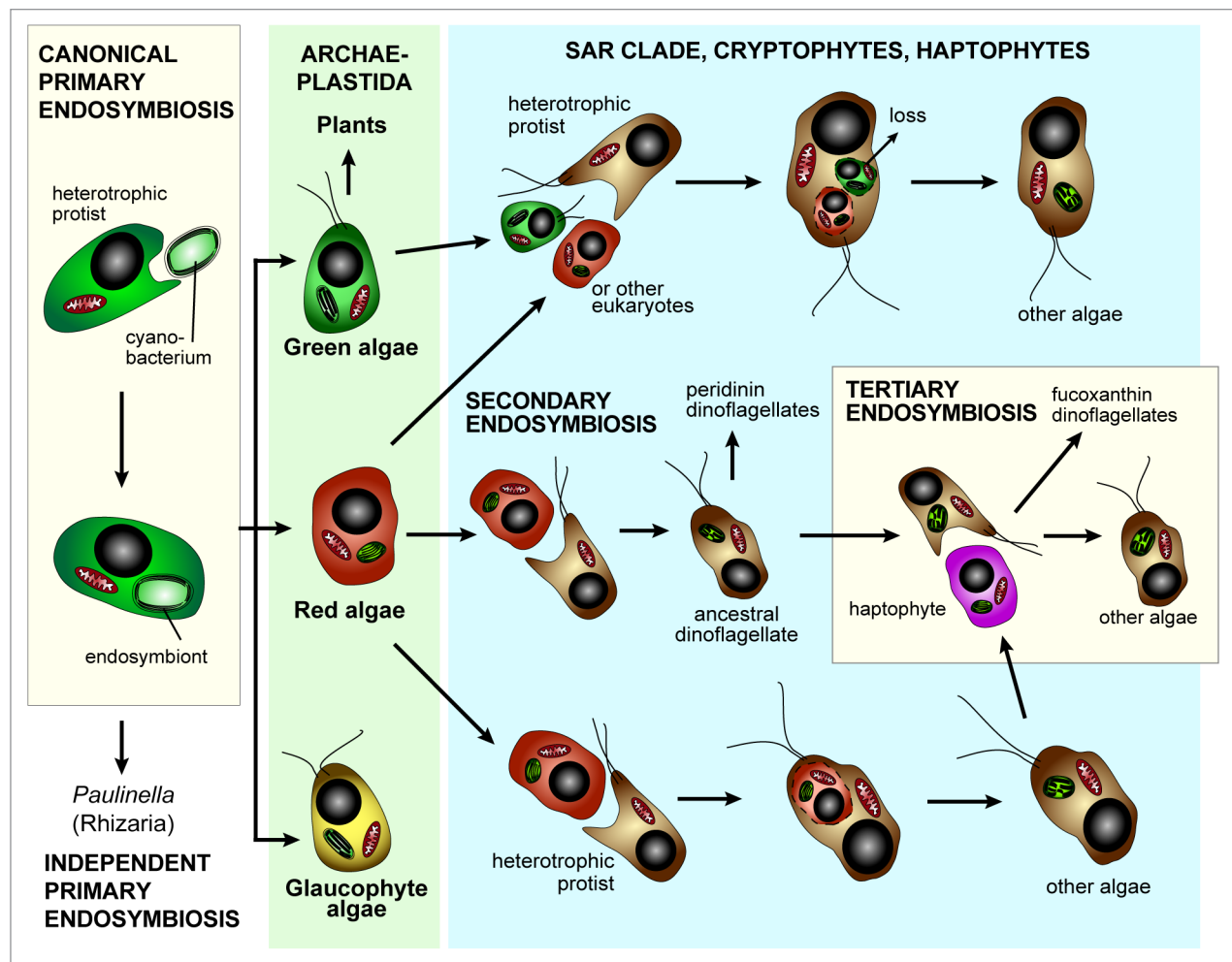
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**Figure 1.** Plastid primary, secondary, and tertiary (only in dinoflagellates) endosymbiosis and horizontal genetic transfer in the evolution of photosynthetic eukaryotes. The rhizarian *Paulinella* gained its cyanobacterium-derived plastid from an independent, more recent primary endosymbiosis than the one that gave rise to the canonical Archaeplastida plastid. The origin of the red plastid in the SAR clade and in cryptophytes and haptophytes led to endosymbiotic genetic transfer (a specific form of HGT) of red algal genes to the nuclear genome of these taxa, whereas a cryptic green algal endosymbiosis may explain the presence of hundreds of green algal derived genes in these same lineages. The phylogenetic relationship of the SAR clade to cryptophytes and haptophytes remains unresolved.

branches in the eukaryotic tree of life.<sup>16,18</sup> Some of these branches, such as the SAR clade (stramenopiles, alveolates, and rhizarians<sup>19</sup>), cryptophytes, and haptophytes also underwent additional (some putatively cryptic; see below) endosymbioses and other forms of HGT (i.e., genomic “footprints” exist of these events) that have significantly modified their gene inventory.<sup>20–24</sup>

Studies of red algal genomes and transcriptomes have yielded novel insights into red algal biology and physiology, including the role of sodium-coupled membrane transport systems,<sup>25</sup> the cellular localization of fatty acid biosynthesis, and elucidation of carbohydrate biosynthesis and

metabolism.<sup>7</sup> A surprising feature of the genomes of mesophilic red algae, perhaps most unexpectedly in multicellular species, is high gene density with few introns, e.g., average of 0.3 and 0.7 introns per gene respectively in *Chondrus crispus*<sup>5</sup> and *Pyropia yezoensis*.<sup>6</sup> The recent analysis of *P. purpureum*<sup>1</sup> reveals a small (19.7 Mbp) genome containing only about 8,355 genes with 235 spliceosomal introns.

### Red Algal Evolution Beyond Horizontal Genetic Transfer

From the perspective of evolutionary biology, analysis of 8,355 predicted genes<sup>1</sup> in *P. purpureum* reveals two important

aspects. First, 103 (1.2% of 8,355) genes show a strong signal of vertical inheritance, based on a stringent bootstrap support at  $\geq 90\%$  for a monophyletic clade in a tree, with an evolutionary association with genes from glaucophyte and green algae (and plants); these trees provide evidence of Archaeplastida monophyly. This number becomes 174 (2.1%) at the less-stringent bootstrap support of  $\geq 70\%$ . Second, at least 453 (5.4% of 8,355) genes (bootstrap  $\geq 90\%$ ), and up to 773 (9.3%; bootstrap  $\geq 70\%$ ), show a strong signal of reticulate evolution with a clear association of red algal genes with other distantly related photosynthetic eukaryotes and prokaryotes. These latter findings are

largely consistent with earlier studies.<sup>3,26</sup> The prokaryote footprints in red algal genomes are most often represented by Cyanobacteria (i.e., primarily EGT from the plastid endosymbiont), Proteobacteria, and Chlamydiae.<sup>27,28</sup> Because ancestral red algal lineages play a central role in the origin of plastids across many important microbial eukaryotes (e.g., SAR clade; Fig. 1), the extent of HGT has largely been associated with endosymbiosis (EGT). Interestingly, the number of *P. purpureum* genes that are implicated overall by HGT is approximately 5-fold greater than those providing clear evidence of Archaeplastida monophyly (i.e., vertical inheritance).

Most studies of algal evolution have relied on standard phylogenomic approaches to infer evolutionary relationships among a set of homologous genes or proteins, either using a gene-by-gene or a concatenated multi-gene approach. A highly provocative hypothesis in eukaryote evolution that came as a result of the gene-by-gene approach concerns the origin of red and/or green algal derived genes in stramenopiles (e.g., the ubiquitous diatoms) and other chlorophyll *c*-containing algae such as in the SAR clade. The finding of hundreds of green algal derived genes in these taxa, most of which contain a red algal derived plastid, was suggested to have arisen from a cryptic green algal endosymbiosis that predated the red algal capture.<sup>29,30</sup> Others have attributed the significant numbers of “green genes” in SAR and other chlorophyll *c*-containing algae to inadequate sampling of red algal genomes in phylogenomic analyses.<sup>31,32</sup> Under this rationale, the inclusion of a larger red algal gene repertoire would clarify the vertical vs. horizontal signals of evolution in microbial eukaryotes. In these instances, a vertical signal would be observed in cases where green algal genes are also present in red algae, whereby a horizontal signal would manifest as green algal genes that are not present in red algae, or if present, do not interrupt the robust monophyly of green and chlorophyll *c*-containing algae. The net result of the addition of red algal data to the analysis would therefore be to significantly reduce the green footprint. However, simply having more red algal genes in the analysis did not resolve the debate in favor of rampant HGT being

the sole reason for green gene presence. Recent studies that include the novel red algal genome data in the analysis do not show a significant reduction in the green gene footprint.<sup>30,33,34</sup> Furthermore, analysis of diatom genomes reveals green algal derived genes to encode functions relevant to plastid metabolism such as multiple components of the carotenoid biosynthesis pathway,<sup>35</sup> photoprotection under fluctuating light conditions,<sup>36</sup> membrane transport,<sup>33</sup> and fatty acid biosynthesis.<sup>37</sup> These might be the sorts of highly beneficial functions that would be maintained as remnants of a previous plastid of green algal provenance. In summary, HGT between ancestral green algal and chlorophyll *c*-containing lineages remains the most parsimonious (thus the most plausible) explanation for the presence of green genes in the SAR (and potentially other) clades. The question of whether some or most of these genes arose via a cryptic green algal endosymbiosis, or all owe their origin to unprecedented levels of HGTs, remains to be resolved. It may be the case that both endosymbiosis and other forms of HGT explain the complex distribution of green genes found thus far in these anciently diverged lineages.<sup>29,30</sup>

Genome evolution, of course, extends beyond foreign gene acquisition, as well as beyond gene boundaries. Genome innovation can be driven by mutation, genetic rearrangement and adaptive (i.e. neutral, positive, or negative) selection.<sup>37,38</sup> These events could leave a more-prominent footprint on algal genomes compared with that of horizontal and/or endosymbiotic genetic transfer. In fact, the former is likely to obscure signals of horizontal inheritance through genome amelioration.<sup>39</sup> Until very recently, we had limited genome data to explore such issues.

The availability in 2013 of genomes from mesophilic red algal species is a significant development for the algal research community, because these genomes allow for comparative analysis without the restriction of the sole, highly reduced genome of the hyperthermophilic red alga *C. merolae*<sup>2</sup>—a situation “plaguing” the community for almost a decade. Perhaps more importantly, these genome data provide timely complements and references for validation for other omic data such as

transcriptomes, proteomes, epigenomes, and metabolomes. Cross-data set examination allows for an integrated, systems biology approach to study diverse aspects of algal biology and evolution. Various aspects of genome innovation in red algae and their relevance to other photosynthetic species can be investigated via hypothesis-driven experiments, including but not limited to genome expansion, sub-, neo-, or dys-functionalization of genetic elements, and the contribution of repetitive and epigenetic elements to adaptation of algae to the environment. Specifically relevant to red algae, key biological questions surround the origin and development of sexual reproduction, cellular complexity and ploidies across diverse lineages.

## Future Perspectives

With the advancement of next-generation sequencing technologies, there is an on-going deluge of sequence data. BGI for instance has launched its Million Genomes Projects (<http://www.genomics.cn/en/>) to generate reference genomes for thousands of human, animal, and plant species. To generate a finished genome is costly in terms of funding, time and expert resources. Large and mega-genome projects are geared toward sequencing to high-depth, rather than finished genomes; e.g., the Genome Encyclopedia of Bacteria and Archaea Project at the Joint Genome Institute (<http://www.jgi.doe.gov/programs/GEBA/>), and the 1001 Genome Projects (<http://www.1001genomes.org>). Similarly, in the case of red algae for which most genomes are sequenced and assembled de novo as drafts, the genome data remain fragmented. No finished red algal genomes (except for *C. merolae*) are available to date, and given the current challenging funding climate worldwide, a costly investment simply to generate finished red algal genomes is unlikely to be attractive to funding agencies. Researchers are also not likely to endorse this approach given the increasing pressure to quickly produce results using currently available data.

The generation of genome data that adequately address the taxonomic breadth of photosynthetic eukaryotes remains a challenge. Such data are ideal for a

comprehensive investigation of the impact of HGT on the evolution of these species, and of photosynthesis in general. Whereas it might seem straightforward to sequence the genome of every living species under the sun, technical challenges remain for many idiosyncratic algal genomes, such as those from dinoflagellates, which are of immense size (some estimated > 200 Gbp)<sup>40,41</sup> and exhibit anomalous features including non-canonical nucleotides and unusual intron-exon splice signals.<sup>42</sup> The seemingly trivial issue of nucleic acid extraction remains challenging for recalcitrant algal species.<sup>43,44</sup> In addition, the issue of sampling biases is inevitable, and unlikely to be resolved in the near future. The appealing idea that simply having more data will lead to the resolution of phylogenetic relationships in the tree of life remains to be validated in the real world.<sup>45,46</sup>

Unfinished draft genomes comprised of fragmented assemblies are not uncommon. Currently and soon-to-be available genome data are expected to be far

more abundant but noisier than those of model genomes. In light of this situation, limitations of using multiple sequence alignment in the standard phylogenomic approach, and its scalability to handle large amounts of sequence data have been highlighted recently.<sup>47</sup> An alternative to multiple sequence alignment is to use subsequences at predefined length to reconstruct sequence distances, which can then directly be used to infer phylogenetic relationships,<sup>48,49</sup> or identify HGT events.<sup>50</sup> This alternative approach is potentially scalable, because it does not require fulfilling the hypothesis of positional homology that is central to techniques such as maximum parsimony, maximum likelihood, or Bayesian inference, most of which are computationally intensive and time-consuming. As the approach bypasses the implicit assumption of full-length contiguity of homologous sequences, it is less sensitive to genetic rearrangement than multiple sequence alignment, reducing loss of phylogenetic information. Although

the robustness and scalability of these approaches remain to be systematically investigated, a highly scalable strategy in phylogenomics remains attractive as more sequence data arrive on the scene.

No matter which approach one decides on using, having genome data of sufficient quality is key. Whereas it remains unclear if having more genome data from red algae will help resolve most or all controversies in eukaryote evolution, the novel data clearly show great potential in accelerating discoveries in algal biology and evolution that extend beyond HGT.

#### Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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